

Penallta House,  
Tredomen Park,  
Ystrad Mynach,  
Hengoed CF82 7PG

Ty Penallta,  
Parc Tredomen,  
Ystrad Mynach,  
Hengoed CF82 7PG



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For all enquiries relating to this agenda please contact Charlotte Evans  
(Tel: 01443 864210 Email: EVANSCA1@caerphilly.gov.uk)

**Date: 3rd June 2015**

Dear Sir/Madam,

A meeting of the **Audit Committee** will be held in the **Sirhowy Room, Penallta House, Tredomen, Ystrad Mynach** on **Wednesday, 10th June, 2015** at **10.00 am** to consider the matters contained in the following agenda.

Yours faithfully,

A handwritten signature in blue ink that reads 'Chris Burns'.

**Chris Burns**  
INTERIM CHIEF EXECUTIVE

## AGENDA

	Pages
1 To Appoint a Chair and Vice-Chair for the Ensuing Year.	
2 To receive apologies for absence.	
3 Declarations of Interest. Councillors and Officers are reminded of their personal responsibility to declare any personal responsibility to declare any personal and/or prejudicial interest(s) in respect of any item of business on this agenda in accordance with the Local Government Act 2000, the Council's Constitution and the Code of Conduct for both Councillors and Officers.	
To approve and sign the following minutes: -	
4 Audit Committee held on 11th March 2015 (minute nos. 1 - 11).	1 - 6

A greener place Man gwyrddach



To receive and consider the following reports: -

5	Wales Audit Office - 2015 Audit Plan (Draft).	7 - 30
6	Regulator Proposals for Improvement Progress Update.	31 - 42
7	Corporate Risk Monitoring.	43 - 50
8	Wales Audit Office Report: Review of Management Arrangements Following a Homicide Report from Healthcare Inspectorate Wales.	51 - 118
9	Annual Governance Statement for 2014/15.	119 - 140
10	Complaint Made to the Public Services Ombudsman for Wales - Case Number 201301753.	141 - 166
11	Business Continuity in Social Services.	167 - 170
12	Forward Work Programme.	171 - 174

To receive and note the following information items:-

13	Internal Audit Services Action Plan Update.	175 - 178
14	Caerphilly County Borough Council Partnerships and Collaborations Update.	179 - 180
15	Officers Declarations of Gifts and Hospitality.	181 - 184
16	Register of Employees' Interests Forms.	185 - 192
17	Fraud Position Statement for 2014/15.	193 - 200
18	Minutes of the Corporate Governance Review Panel - 16th February 2015.	201 - 202
19	Minutes of the Corporate Governance Review Panel - 23rd April 2015.	203 - 204

*\*If a member of the Audit Committee wishes for any of the above information reports to be brought forward for discussion at the meeting please contact Charlotte Evans, 01443 864210, by 10.00 a.m. on Tuesday 9th June 2015*

**Circulation:**

Councillors Mrs E.M. Aldworth, Mrs K.R. Baker, D.G. Carter, W. David, N. Dix, J.E. Fussell, D. Havard, C. Hawker, Ms J.G. Jones, Mrs G.D. Oliver, J.A. Pritchard and D. Rees

Lay Member – Mr N.D. Yates

Auditors - Ms S.J. Byrne (PriceWaterhouseCoopers LLP), Mr I. Davies (PriceWaterhouseCoopers LLP), Mrs L. Pamment (PriceWaterhouseCoopers LLP), Ms J. Joyce (Wales Audit Office) and Ms N. Jenkins (Wales Audit Office)

And Appropriate Officers.